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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 5077-000237/NP
INTERNATIONAL APPLICATION NO. PCT/JP2004/006224		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/529316
INTERNATIONAL FILING DATE 28 April 2004 (28.04.2004)		PRIORITY DATE CLAIMED 28 April 2003 (28.04.2003)
TITLE OF INVENTION Counterfeit Eye Discrimination Method and Device, Counterfeit Eye Discrimination Program, Iris Authentication Method, Counterfeit Printed Matter Discrimination Method and Image Discrimination Method		
APPLICANT(S) FOR DO/EO/US AZUMA, Takeo; KONDO, Kenji; IWASAKI, Masahiro and WAKAMORI, Masahiro		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

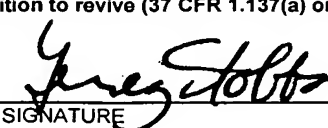
1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).*
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **ISR, Notice of Reasons for Rejection & 6 cited references, *Pub. Intntl App WO 2004/097743 A1 & Rtn Postcard.**

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/529316</div>		INTERNATIONAL APPLICATION NO. PCT/JP2004/006224		ATTORNEY'S DOCKET NUMBER 5077-000237/NP		
The following fees have been submitted				CALCULATIONS	PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300.00		
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 200.00		
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 400.00		
TOTAL OF 21, 22 and 23 =				\$ 900.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE			
34 - 100 =	-66 /50 =		x \$250	\$ 0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	17 - 20 =	0	x \$ 50	\$ 0.00		
Independent claims	6 - 3 =	3	x \$200	\$ 600.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,860.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				0.00		
SUBTOTAL =				\$ 1,860.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0.00		
TOTAL NATIONAL FEE =				\$ 1,860.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00		
TOTAL FEES ENCLOSED =				\$ 1,900.00		
				Amount to be refunded:	\$	
				Amount to be charged:	\$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,900.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-0750</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO: Gregory A. Stobbs HARNESS, DICKEY & PIERCE, P.L.C. P. O. Box 828 Bloomfield Hills, MI 48303 United States of America						
				 SIGNATURE		
				Gregory A. Stobbs NAME		
				28,764 REGISTRATION NUMBER	MARCH 25, 2005	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

00/529316
JC17 Rec'd PCT/PTO 25 MAR 2005
Express Mail No.: EV 570 160 691 US

Date of Deposit: 25 March 2005

Application No.: Not yet assigned – 371 of PCT/JP2004/006224

International
Filing Date: 28 April 2004 (28.04.2004)

Applicant: Takeo AZUMA et al.

Title: Counterfeit Eye Discrimination Method And Device,
Counterfeit Eye Discrimination Program, Iris Authentication
Method, Counterfeit Printed Matter Discrimination Method
And Image Discrimination Method

Attorney Docket: 5077-000237/NP


Mail Stop PCT
Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

EXPRESS MAIL TRANSMITTAL

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on 25 March 2005 and addressed for receipt by the United States Patent and Trademark Office:

1. Express Mail Transmittal (Label No. EV 570 160 691 US);
2. Check in the amount of \$1,900.00;
3. Transmittal Letter to the DO/EO/US Concerning
a Filing Under 35 USC 371 (2 pages, in duplicate);
4. Application Data Sheet (4 pages);
5. English Translation of International Application (22 pages);
6. English Translation of Formal Drawings (Figs. 1-16) (12 pages);
7. Preliminary Amendment (3 pages);
8. Signed Declaration and Power of Attorney (4 pages);
9. Assignment Recordation Cover Sheet and Assignment (3 pages);
10. IDS; w/ Form HDP-1449; ISR, Notice of Reasons of Rejection and 6
cited references;
11. Copy of Published International Application WO 2004/097743 A1;
12. Return Receipt Postcard.

HARNESS, DICKEY & PIERCE, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600


Susan McGaw